Oxfam Australia

Humanitarian Partnership Agreement (HPA Disaster Risk Reduction (DRR) and Disaster Risk Management (DRM) Program, 2011-14

End of Program Evaluation

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Abbreviations and Acronyms

ANCP        Australian NGO Cooperation Program
AusAID      Australian Agency for International Development (now part of DFAT)
CCA         Climate Change Adaption
DAC         Development Assistance Committee (of the Organisation of Development Cooperation and Development)
DFAT        Australian Department of Foreign Affairs and Trade
DIPECHO     Disaster Preparedness – European Commission Humanitarian Office
DPT         Disaster Preparedness Team (Indonesia)
DRM         Disaster Risk Management
DRR         Disaster Risk Reduction
ERT         Emergency Response Training
GN          Grama Niladhari (sub-district, Sri Lanka)
HPA         Humanitarian Partnership Agreement
HSU         Humanitarian Support Unit
KAP         Knowledge Attitudes and Practices
Oxfam       Oxfam Australia
OI          Oxfam International
PCVA        Participatory Capacity and Vulnerability Assessment
PRIME       Preparedness, Response and Influence; a Model of Emergency (Indonesia)
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1. Executive Summary

In 2011, as a member of the Australian Department of Foreign Affairs and Trade (DFAT)’s Humanitarian Partnership Agreement (HPA), Oxfam Australia was invited to submit a three year Disaster Risk Reduction (DRR) and Disaster Risk Management (DRM) proposal with a total budget of AUD$1.5m. The DRR Program set out to develop context specific models in the four regions in which Oxfam is directly programming, and the Solomon Islands, Sri Lanka, Indonesia and South Africa programs were selected to implement projects. The DRM component aimed to strengthen the DRR implementing capacity of Oxfam and its partners by the development of tools and training materials and by the provision of technical support to the projects.

As the project nears completion, a two day workshop has been held in Melbourne in July 2014. The purpose of the workshop was to share experiences, capture impact and review effectiveness with findings anticipated to inform future program design and to strengthen Oxfam’s DRR/Resilience work in line with 2020 strategic commitments. This report reflects the findings of this workshop, supplemented by information from project reports and case studies.

There is agreement that the program has given considerable impetus to the development of DRR within Oxfam. In 2011 isolated DRR projects existed in a number of countries, but there was inconsistent documentation and no clear approach or plan within the agency. DRR is now well understood by regional and country programs and there is a cadre of staff and partners who are familiar with the approach. Tools such as the Participatory Capacity and Vulnerability Analysis (PCVA) have been developed and adopted – and adapted to the needs of the very different working environments which exist in the four countries covered by the project.

There is evidence to suggest that the models developed have been successful and influential. The Indonesian and Sri Lankan models have been adopted and replicated by local governments: the Solomon Islands has successfully accessed further DRR funding and the South Africa project also received additional funding for its innovative urban DRR from another Oxfam affiliate.

The program design was appropriate – but the need for greater consultation in relation to the design and for ongoing technical support was noted.

The importance of selecting appropriate DRR partners is a key learning: it would be fair to say that three of the four projects experienced difficulties with the partners initially selected.

The intention to integrate Climate Change Adaption (CCA) into the DRR models has been difficult to achieve. Country teams lacked the expertise in this area and it proved difficult to engage with the high level, strategic expertise which Oxfam has at head office level. In some countries, hard pressed communities simply do not see climate change as a priority issue in their day-to-day lives. There is evidence that gender and cross cutting themes such as disability inclusion have been addressed, without being a major focus of the program.
Participants at the workshop indicated a number of directions for future DRR and resilience work within Oxfam Australia. Better engagement with a number of stakeholders was recommended, including other agencies concerned with DRR, academic institutions and the private sector. There is also considerable interest in better utilising technology which is readily available in many countries – such as mobile phone apps and messaging services, computer programs and other information technology.

As Oxfam Australia enters another period of structural change, it is important that DRR is not forgotten or deprioritised. This program has provided the agency with DRR coordination, innovation and a network over the past three years. The agency should continue to build on these achievements.

The workshop was a rich source of ideas and suggestions. These are summarised in the following recommendations:

**Recommendation 1:** Multi-regional programs require a high level of communication and coordination in design and implementation phases. These processes must be resourced accordingly.

**Recommendation 2:** The current flexible definition of DRR by Oxfam is appropriate. It should not however, be expanded to incorporate conflict related disasters.

**Recommendation 3:** Oxfam must recognise that partner assessment and selection for DRR are critical. Project planning should include resources for training and capacity building in skills relevant to the implementation of the project.

**Recommendation 4:** Oxfam should adhere to existing guidelines and conduct a risk mapping exercise, which also includes a power analysis, prior to the commencement of new DRR projects.

**Recommendation 5:** Oxfam will consider how to provide expertise and support to private sector engagement initiatives in relation to DRR projects.

**Recommendation 6:** Oxfam should strengthen its DRR work through practical linkages to universities in Australia and the countries in which it operates.

**Recommendation 7:** Oxfam should work to provide practical CCA training and support at the project and community levels.

**Recommendation 8:** Oxfam should explore options for better use of technology in DRR using its existing resources and in collaboration with other actors. Areas such as early warning, disaster mapping and appropriate communications should be considered.

**Recommendation 9:** Oxfam must develop its plans for continuing its strategic commitment to DRR. It should clarify its vision and strategy beyond the current projects and ensure that necessary technical support continues to be provided for DRR within the agency.
2. Introduction

Oxfam Australia successfully tendered for AusAID’s\(^1\) Humanitarian Partnership Agreement (HPA) in late 2010. Selected agencies were asked to submit concept notes for Disaster Risk Reduction (DRR) and Disaster Risk Management (DRM) projects. If both concept notes were accepted, a budget of up to $1.5 million per agency - to be used over three years - was made available. AusAID defined DRR as risk reduction work undertaken specifically with communities, whilst DRM was defined as the capacity building of agencies and their partners who would deliver the DRR component. There was considerable flexibility in how the funds were to be allocated: for example there were no geographical specifications. AusAID also encouraged HPA agencies to consider linkages with Climate Change Adaption (CCA).

In early 2011 when the concept papers were developed, Oxfam Australia had an organisational commitment to DRR, but was somewhat uncertain of its approach to DRR, although policies and approach documents existed within the Oxfam confederation. The concept note references DRR work in nine countries – but there was no common model.

The DRR design recognised this situation and split funds between the four regions in which Oxfam Australia works (Southern Africa, South Asia, East Asia, and Pacific) with the idea of developing replicable DRR models in each. South Africa, Sri Lanka, Indonesia and the Solomon Islands were the countries selected by the regional units. Overall project objectives were decided:

**Goal:** Reduce vulnerability and enhance the resilience of communities to disasters and climate change

**Objective 1:** Appropriate context specific project models are developed and trialled, and lessons learned are documented and shared

**Objective 2:** Selected communities and partners are empowered to contextualise, identify, analyse, evaluate and treat short term disaster and long term climate change risks

**Objective 3:** Target government agencies have increased awareness of DRR/CCA and, if appropriate, are supported to develop their own DRR/CCA and response plans

**Objective 4:** Target government agencies and service providers are held accountable to deliver community entitlement and services to targeted communities

The DRM project was designed to support these projects and develop common tools and training materials. The development of a Participatory Capacities and Vulnerabilities Analysis (PCVA) and the incorporation of DRR into the existing Emergency Response Training (ERT) package were seen as key components, along with a technical support position for the initial phase. The DRM project shared the goal of the DRR project and had the following objectives:

**Objective 1:** To strengthen existing capacity development mechanisms to incorporate DRR and CCA

**Objective 2:** To apply and adapt DRR and CCA mechanisms to targeted programs.

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\(^1\) Subsumed by the Department of Foreign Affairs and Trade (DFAT) in November 2013
The program was launched with a workshop held in Lombok, Indonesia, which provided an opportunity for participants from the target countries to meet, share information about the projects and learn more about DRR concepts and tools and established a model of change.

As the DRR/DRM program nears completion this report has been commissioned to assess the results and to reflect on the lessons for Oxfam Australia. Section 3 provides a brief account of the methodology. Section 4 provides a short summary of each project. Section 5 documents the main findings of the final project workshop held on 28-29 July 2014.

3. Methodology
This report has drawn upon a number of documentary resources, such as concept papers, donor reporting and case studies, but its primary focus has been on documenting the reflections and lessons learned at the completion workshop held in Melbourne on 28-29 July 2014. Participants included at least two field staff from each country – and two representatives of partner organisations from South Africa. A number of Melbourne based staff, including Humanitarian Program Coordinators, attended subject to availability. A full list of participants can be found in Annex 1 and the workshop timetable is provided in Annex 2.

The Terms of Reference for the exercise (see Annex 3) incorporate both the DAC criteria and Oxfam Australia’s Seven Core Questions\(^2\) for monitoring evaluation and learning. Based on the Oxfam Minimum Standards for Evaluation, it also specifies the need to assess the following areas:

- project design
- effectiveness
- Oxfam and partner approaches
- relevance
- accountability
- value for money
- sustainability

The workshop timetable was designed to address these issues, so the structure and content of the report reflect the workshop outputs. The report does not provide a detailed account of the progress and outcomes of each constituent project, which can be found in the formal reporting on the program.

\(^2\) 1. What significant changes have occurred in women’s men’s boys and girl’s lives and to what extent are these likely to be sustained? 2. How far has greater equity been achieved between women and men, boys and girls, and other groups? 3. What changes in policies, practices, ideas, beliefs and attitudes have occurred in specific institutions, groups and individuals? 4. How effectively and appropriately have those we seek to benefit been involved at relevant stages through the process? 5. How effectively and appropriately have we worked with others and involved them in relevant stages through the process? 6. How effectively and efficiently have our resources been used? 7. To what degree have we learnt from this experience and shared the learning with others and ourselves? What will we now do differently, or what will we do more of? See “Oxfam Seven Core Questions Toolkit”, July 2012
4. Project Summary

4.1 Solomon Islands
The project has worked in three diverse communities in different parts of the country. Maraone is an inaccessible inland village in Makira province, ten hours walk from the provincial capital. Nifiloli is a coastal community in Temotu province in the far east of the country. Kolosori is a peri-urban settlement in Isabel province. The country has a high exposure to natural hazards and low lying areas – such as Temotu - are being particularly affected by climate change.

The Solomon Islands Red Cross (SIRC) was identified as the main partner organisation because it had a strong track record of DRR implementation in the country. The project articulated “the super approach” designed to bring government, civil society and community stakeholders together and incorporating the lessons from previous projects. It has successfully worked with the three communities to implement PCVAs and Community Action Plans.

A number of problems were encountered. The extreme remoteness of the project sites made access difficult and unsuitable for local level replication. SIRC struggled to find staff trained in the necessary DRR skills and to adapt them to the needs of different communities. The partnership more generally, experienced challenges, which resulted in Oxfam’s decision to implement directly in year three, while continuing to build SIRC’s capacity. The need to respond to disasters such as the Temotu tsunami and the recent Guadalcanal floods has also affected the project. Whilst the project has engaged extensively with local and national level governments and disaster management authorities, it has been found that they generally lack resources. For example the government is implementing an extensive risk assessment process without the necessary resources to implement the action plans based upon them.

The project has helped clarify the Solomon’s DRR model and helped to establish it as a core theme of the country program. Additional external funding has now been obtained for integrated DRR/resilience work through the Cargill Foundation and a small DRR advocacy project, funded through the Australian NGO Cooperation Program (ANCP), is planned to influence the government’s approach.

4.2 Sri Lanka
The Sri Lanka project has taken advantage of a surge of interest in DRR following the catastrophic tsunami in December 2004, which has led to the creation of a National Council for Disaster Management (2005) and a national disaster management policy (2013). Oxfam originally planned to work in post-conflict northern areas of the country, but government inflexibility on methods of implementation caused the project to change its focus to Batticaloa District in the east of the country. Batticaloa is one of the districts most severely affected by natural hazards. Typically these hazards include floods, cyclones, landslides and lightning strikes.

Oxfam has worked in nine villages in five Grama Niladhari (GN) Divisions. Working with local communities and grass roots partners, GN Divisions have conducted PCVAs, established GN Disaster Management Committees and developed action plans. Other agencies have
contributed – for example the Sri Lanka Red Cross has provided first aid training to committee members.

The project has not been without challenges: the government is more interested in the “hardware” of disaster response than the “software” of a community based DRR approach. It has also proved difficult for the project to make progress on climate change adaption as communities and local governments have much more pressing and immediate concerns to contend with. The project also aspires to collaborate more with the private sector – but there is a lack of knowledge of how to do this – and a degree of suspicion about the role of the private sector amongst NGO staff. Finally, the project has had to deal with misappropriation of funds by one partner which resulted in minor implementation delays.

Overall, the model has attracted considerable interest within Sri Lanka and the government has undertaken to replicate the model in 19 more GN Divisions. There is improving government engagement with DRR at divisional and national levels and Oxfam has now been invited to participate in the National Disaster Management Committee. This project will be utilised to leverage and expand the existing model. This will complement future work funded by DFAT through the HPA mechanism, as well as through DIPECHO and ANCP.

4.3 South Africa

South Africa is defined as a middle income country with around 62% living in urban areas and less than one officially declared disaster per year – but with serious issues of social inequality and consequently, vulnerability to shocks. More than 40% of the population is estimated to be unemployed and there are a high number of unregistered refugees. Oxfam has supported five established local partners in very different contexts: Refugee Social Services focuses on refugees living in urban settings; Project Empower with peri urban areas of Durban; Sophokama works in informal settlements in Eastern Cape and Kwazulu Regional Christian Council and Tholulwazi Uzivikele work in often remote rural areas.

In engaging with DRR for the first time the South Africa program has needed to define what constitutes DRR in the country. It has found many gaps in government support for the most vulnerable, such as refugees and impoverished communities. The project has found a niche in DRR for “micro-disasters” such as fires, floods etc. which often affect a small number of people. The considerable differences in the context faced by each partner mean the project has not developed a single model – but rather learned how to be effective in each setting. The project has however followed the standard steps of introducing communities to DRR, conducting a PCVA and developing an action plan. Influencing government at a local or national level has proved challenging within the timescale of the project but innovative ways of influencing important stakeholders have been developed. For example, a photography initiative encourages community members to document their living conditions and a resulting exhibition has been used to influence groups with influence – such as the architects who design urban housing.

As a result of the project, DRR has been accepted as an important element in programming in South Africa and there is interest in adopting some of the processes used – even amongst partners not formally supported by the project. The innovative nature of the work – particularly urban DRR - led to some additional funding being provided by Oxfam GB.
4.4 Indonesia

Oxfam’s program in Indonesia differed from the others because it already had a large, well known DRR program. The PRIME program was established as part of the response to the 2004 Indian Ocean Tsunami which devastated Aceh and became one of the key components of the country program. The relatively limited funds available were used to innovate: to use the existing methodology in a different context (Western Sumatra) and to strengthen components in the program such as CCA and gender.

Western Sumatra is particularly vulnerable to natural hazards, particularly earthquakes, and the government has recently established Local Disaster Management Authorities – but these are weak and under resourced. The project set out to develop local DRR structures in 22 villages and to use these as models for replication elsewhere.

Communities followed the PCVA process and developed action plans. They also formed Disaster Preparedness Teams (DPTs), which in turn were linked to form DPT forums. Knowledge Attitude and Practise (KAP) surveys show important changes as a result of the project. The perception that disasters are an “act of God” that cannot be foreseen or prepared for has been substantially eroded, with a 50% increase in awareness of disaster risk in their area – and 96.5% of people who now believe that it is important. 69.5% of families have now developed their own disaster plan. This success has led to strong local government interest in the model which is now being replicated in 11 villages and 7 schools. The district level forums have also inspired the creation of a journalists’ forum dedicated to spreading information about disasters in the area.

The initial target of 22 villages was perhaps too high, and the project has learned the importance of selecting the right partners: some of those initially involved were felt to be focused on disaster response rather than DRR and somewhat autocratic in their dealings with communities. The project would also have liked to have gone beyond its focus on identifying and preparing for risks to look at building resilience. Attempts at developing links with the private sector did not produce significant results and also require further attention.

5. Findings

5.1 Program Design

The design of Oxfam’s DRR/DRM activities was different to those of other HPA partners, which largely allocated the funds to one or two existing projects, often managed by other affiliates in their confederations. The allocation of four relatively small grants clearly carried risks in terms of the possibility of relatively high operating costs and dissipated impact. However participants generally felt that the results had justified this approach. One participant noted the huge increase in the level of understanding and engagement with DRR within Oxfam – something for which the DRR/DRM program has been a catalyst. The proceedings of the end of project workshop - compared with the launch workshop almost three years ago - suggest that a critical mass of staff familiar with DRR and committed to its integration has been created.

Although the final workshop was generally agreed to be useful, some participants argued that greater learning and more effective sharing of ideas could have been achieved better by meetings earlier in the project cycle.
The project objective of creating regional DRR “models” may have been simplistic: within regions - and countries the program has learned that tools and approaches need to be tailored to the specific circumstances – but Oxfam is now unquestionably better placed to do this.

Arguably objectives 3 and 4 of the DRR project - strengthening government DRR/CCA and holding them to account for this – are too ambitious, but demonstrable success has been achieved by the country projects – most notably with the replication of the project approach by local governments in Sri Lanka and Indonesia.

The replication of these approaches, plus the success in leveraging additional funds in the Solomons and South Africa mean that a good case can be made to say that the approach has been cost-effective.

Some elements of the design could have been improved. The initial idea that the need for a DRR coordinator would cease after one year when the necessary tools had been developed was unrealistic – indeed the need for a central resource and focal point for DRR will outlive the project.

The initial design which required all projects to conform to common objectives without sufficient communication or consultation was also unsatisfactory and led to considerable frustration.

The tools developed for the DRM component were generally adopted and used successfully by the projects – although the Indonesia project noted that tools - such as the PCVA - were not significantly different from the Oxfam GB versions, which they were already using.

Recommendation 1: Multi-regional programs require a high level of communication and coordination in design and implementation phases. These processes must be resourced accordingly.

5.2 Who benefitted?

According to program reports the number of community members who have directly or indirectly benefitted is 44,457. The numbers of direct beneficiaries is relatively small for most of the projects. This in part reflects the small and isolated communities with which projects are working – for example in the Solomons where the targeted communities numbered between 125 and 500 individuals. It is also indicative of the “pilot” approach which focused more on developing and documenting replicable models, rather than maximising beneficiary numbers in the short term. It should also be recognised that there is a trade-off between quantity and quality; the Indonesia project reached more than 23,000 direct and indirect beneficiaries in 22 communities, but project staff believe that the impact might have been greater if they had focused on fewer communities.

Local civil society and government partners have also clearly benefitted. The civil society organisations involved in the project have strengthened their skills to the level where they have been able to deliver the projects. Exposure to the DRR model has had further benefits: “Even partners who do not have DRR programs are doing DRR work.”3 The replication of DRR models by local governments in Sri Lanka and Indonesia is indicative of increased local

3 OXFAM South Africa Program, Case Study 17, Redeveloping Disaster Risk Reduction (2014), p35
government capacity, and the Oxfam’s invitation to join the Sri Lankan governments’ National Disaster Management Committee suggests that opportunities to support and influence national structures may be possible.

Finally, the project has undoubtedly benefitted Oxfam and its staff. The agency now has a much more coherent approach to DRR, together with a cadre of trained staff and a range of tested tools and training materials.

5.3 Efficiency and Effectiveness
As discussed in the discussion of the project design (see section 5.1 above) the project has made good progress towards its objectives, even if more work is needed in several areas (e.g. strengthening and influencing government, CCA). The encouraging signs that the program has led to replication and to further funding also suggest that the DRR models developed do represent good value for money.

The program has created a good foundation for further DRR work within Oxfam: the development of contextualised models, the availability of DRR tools and training materials and a series of case studies which document the learning from the projects. To get the best value from the investment, Oxfam will need to continue to focus on DRR and to capture learnings from current and future projects.

5.4 Relevance
The relevance of DRR is unquestionable. Internally, both the Oxfam and OI strategic plans contain commitments to DRR. Indonesia, Sri Lanka and the Solomons have all been stricken by large and small “natural” disasters in recent years, leading to formal government commitments to DRR. In each case this commitment is not matched by a clear understanding – particularly at a local level – of what the commitment means and how it can be implemented. In these circumstances Oxfam’s DRR interventions have been relevant – and influential. South Africa is less prone to such disasters – but in developing and documenting approaches to “micro disasters” in a highly unequal society - particularly urban and peri-urban contexts, the project is certainly relevant to Oxfam’s role and mandate.

5.5 The Parameters of DRR
The workshop spent some time looking at the parameters of the current approach. One question is whether Oxfam’s DRR framework should include conflict related disasters – rather than restricting its focus to natural hazards. There is no doubt about the relevance of conflict: all four target countries have experienced war, or at least serious social tensions in the last two decades. Participants were broadly in agreement on the importance of addressing conflict related disasters, but felt this required more investment and attention than is feasible within the ambit of the current program. It is clear that this will need to be further considered in future, but most contributors felt that to extend the DRR model to include conflict would risk overcomplicating - and potentially diminishing - its impact.

The South Africa Program also noted that it had struggled with definitional issues: are the “micro-disasters” such as fire or wiring hazards in urban tenements really part of the DRR paradigm? All that can be said is that DRR tools and approaches have been found to be effective in dealing with them.
The relationship of DRR and resilience within Oxfam may also need clarification. Amongst DRR practitioners there is recognition of the need to go beyond risk management and to develop community resilience, particularly in relation to livelihoods, so DRR and resilience should be complimentary if there is good internal communication around these issues.

**Recommendation 2: The current flexible definition of DRR by Oxfam is appropriate. It should not however be expanded to incorporate conflict related disasters.**

### 5.6 Partnership

Selecting and developing the right implementing partners was recognised as a key issue by all countries. Problems with partners were common - but of different types. In the Solomon Islands the partner selected had unrivalled DRR credentials but had its own agenda of expanding its presence in to new and remote areas where its comparative advantage was lessened. The partner also had a disaster response mandate – and when disasters did occur, staff and resources were required elsewhere. In Indonesia partners with strong disaster response credentials were selected – but in at least one case their approach to communities was too autocratic for community based DRR. Sri Lanka was forced to change locations when the demands of government in the North were realised – and also had to deal with corruption in one local partner.

No simple or common solution was identified, but participants agreed that a long term and strategic approach was necessary in selecting appropriate DRR partners. Careful partner assessment is necessary to understand their strengths, weaknesses and motivation, and ensure that proper selection occurs. In most cases ideal partners may be difficult to find – and DRR work will need to be accompanied by capacity building and a more strategic approach which also considers the option for direct delivery if project timeframe and resources are limited. Participants also mentioned the importance of improving ways of working with Red Cross national societies, given their access and mandate for preparedness and response, and their ability to access communities and work at multiple levels.

**Recommendation 3: Oxfam must recognise that partner assessment and selection for DRR are critical. Project planning should include resources for training and capacity building in skills relevant to the implementation of the project.**

### 5.7 Engaging Other Stakeholders

Three types of stakeholders were discussed at the workshop. First, it is unlikely that Oxfam alone will be implementing DRR within a given country. Participants noted that they were unaware of the activities of other HPA partners – some of which turned out to be active in DRR. It was suggested that a DRR mapping exercise would be useful in the early stages of future projects.

**Recommendation 4: Oxfam should adhere to existing guidelines and conduct a mapping exercise, which also includes a power analysis, prior to the commencement of new DRR projects.**

Workshop participants were also aware of the potential of working with private sector partners: three of the four countries participating in the program are classified as “middle income” countries and all have dynamic economies. Some caution is clearly necessary: there are many examples of unscrupulous companies – particularly those focused on
resource extraction – which Oxfam should not legitimise by any sort of partnership. However, collaboration with many others could be beneficial. Currently country program staff are likely to be reluctant to engage with the private sector and, in any case lack the necessary skills to do so. Workshop participants gave examples of unsuccessful attempts at collaboration: in Indonesia, companies were approached at a local level but were unable to make any meaningful commitment without reference to their national hierarchy.

**Recommendation 5: Oxfam should consider how to provide expertise and support to private sector engagement initiatives in relation to DRR projects.**

There is evidence that academic institutions are becoming increasingly engaged in DRR: in Indonesia for example, the government has identified the twelve main disaster risks and is allocating support to an equal number of universities to work on one of these risks each. Workshop participants were keen to develop links with academic institutions in Australia and in their countries of operation and they proposed a number of ways that this could be done:

- Use students to help document DRR projects
- Ask university faculties to provide technical support in specific areas (e.g. incorporating CCA in DRR)
- Oxfam to contribute to academic courses to influence the debate on DRR

It should be recognised that there is an opportunity cost to participation in academic engagement for busy program staff, but these ideas deserve further consideration.

**Recommendation 6: Oxfam should strengthen its DRR work through practical linkages to universities in Australia and the countries in which it operates.**

**5.8 Gender and Cross Cutting Themes**

Gender disaggregated data is provided for all projects and activities. This shows that beneficiary numbers are almost equal between men and women and that there has been a concerted effort to ensure that women participate in disaster management bodies. For example 44% of Disaster Management Committee members in Sri Lanka are women: in Indonesia 60% of those participating in community risk analysis and action plans are women. It was suggested that to go further, future projects could include specific gender objectives and make greater use of gender standards which already exist.

Generic child protection policies apply to all of Oxfam’s work. There are also good examples of the inclusion of people living with disabilities. For example in Sri Lanka their houses are identified and marked so that they can be assisted in case evacuation is necessary. Specific collaboration with specialist agencies is also being considered. For example, the Sri Lanka program may prepare an MoU with Handicap International to strengthen its work in this area.

It was agreed that none of the projects are likely to have had any significant environmental impact.

**5.9 Climate Change Adaption**

Including CCA in Oxfam’s DRR approach was clearly part of the program design, but progress has been somewhat limited. In some countries, communities and authorities have not seen it as a high priority and local staff lacked the expertise and tools to convince them
that it should be taken more seriously. In countries which are immediately and tangibly affected by climate change – such as the Solomon Islands, this is less problematic.

Accessing appropriate technical support has proved difficult, although participants spoke positively of a DRR/CCA learning event conducted last year. Field staff said that while Oxfam has considerable high level CCA expertise, they had struggled to access simple, practical support in incorporating CCA at a project and community level.

It was suggested that the existing PCVA does not place sufficient emphasis on CCA. Participants noted that DRR and CCA are not vastly different concepts – but the benefits of integration needed to be made clearer.

**Recommendation 7:** Oxfam should work to provide targeted and practical CCA training and support at the project and community levels.

### 5.10 Increased Use of Technology

Workshop participants felt that Oxfam does not currently use available technology in an effective manner and some of the most enthusiastic debate centred on innovations which could strengthen DRR. Oxfam is increasingly working in countries with high rates of mobile phone usage and increasing technological capacity. Ideas for better use of technology include:

- Encouraging insurance companies to speed up claims by using Google Earth to verify crop damage – rather than wait for physical verification (Sri Lanka)
- Using smart phone apps to collect and share disaster information – an approach already trialled in the recent Guadalcanal floods in the Solomon Islands
- Persuading mobile phone networks to disseminate disaster warnings (Sri Lanka)
- GIS systems for risk mapping
- Better use of walkie-talkies in addition to mobile phones for better collective communication among stakeholders (Indonesia)

The impetus for better technological use could come from a number of sources. It was noted that Oxfam’s own IT staff often have considerable capability which is often under-utilised. Increased engagement with academic institutions and the private sector also have potential for collaboration on applying available technology in DRR.

**Recommendation 8:** Oxfam should explore options for better use of technology in DRR using its existing resources and in collaboration with other actors. Areas such as early warning, disaster mapping and appropriate communications should be considered.

### 5.11 Exit Strategies

The key to sustainable outcomes is the strength of community organisations and our DRR partners. To be successful, capacity benchmarks need to be established and regular assessments need to be conducted to measure progress towards them. The importance of appropriate messaging about the project duration from early in the project cycle is also important. A particular issue with the type of “pilot” approach is that it implies some sort of follow up or expansion of activities. In the Solomon further DRR funding from another donor
will ensure that this occurs – but future DRR activities are less clear in the other three countries.

5.12 Sustainability
The DRR/DRM program has made considerable progress over the last three years but the future of these activities within the agency is unclear at its conclusion. There should be greater clarity on how Oxfam will meet its strategic commitment to DRR and how it will be resourced. It is important that the progress made in the development of DRR models is not lost through a lack of clear plans or funding. Workshop participants also agreed that for DRR to expand beyond the current projects, a position is necessary to provide ongoing technical support and to ensure learning is shared across projects and countries.

Recommendation 9: Oxfam must develop its plans for continuing its strategic commitment to DRR. It should clarify its vision and strategy beyond the current projects and ensure that necessary technical support continues to be provided for DRR within the agency.

6. Conclusion
The DRR/DRM Program has had considerable success in developing and institutionalising DRR within Oxfam Australia. There is now a cadre of skilled staff within each region and a set of training materials, tools and approaches which simply did not exist three years ago. Documentation of the component projects through reports and case studies mean that much of the learning from the program is accessible to those outside the project.

Replication of project approaches in Sri Lanka and Indonesia and the additional DRR funds which have been obtained in the Solomon Islands and South Africa are evidence that the merits of this work are being recognised outside the agency.

Weaknesses in design and partner selection have largely been recognised and overcome. Gaps remain: there are improvements which can be made in incorporating CCA and in adaption of available technology and the potential of academic and private sector partnerships are largely unrealised.

However the real value of this challenging and innovative program will be in how Oxfam Australia chooses to build upon it and develop DRR within the agency.
Annex 1: List of Participants

HPA DRR REVIEW WORKSHOP

JULY 28-29

CONFIRMED PARTICIPANTS

International

Mohammed Riyas - Oxfam in Sri Lanka
Rasiah Sivaskaran – Oxfam in Sri Lanka
Lorima Tuke – Oxfam in Solomon Islands
Katie Greenwood – Oxfam in Solomon Islands
Ade Reno Sudiarno – Oxfam in Indonesia
Petrasa Wacana – Oxfam in Indonesia
Inger Harber – Oxfam South Africa
Mxolisi Nyuswa – KwaZulu Regional Christian Council, South Africa
Yasmin Rajah – Refugee Social Services, South Africa

Melbourne Office

Andee Davidson – Pacific
Charmaine Consul Goncalves – Pacific
Evan Davies – Pacific
Juhi Sonrexa - Pacific
Jo Podlesak – East Asia
Peter Ikin – East Asia
Rachida Hunting – East Asia
Remy Kinna – East Asia
Farooq Dar – South Asia
Sophie Ford – South Asia
Katia Rotar – Africa
Maud Mukova-Moses - Africa
Richard Simpson – Africa
Kerry Farrance – Africa
Emma Renowden – HSU
Louise Mooney – HSU
Meaghan Barry – HSU
Meg Quartermaine – HSU
Praphulla Shrestha - HSU
Jayne Pilkington – Program Quality
Manoja Wickramarathne – Program Quality
James Riturban – Program Quality
Annex 2: Workshop Timetable

Oxfam Humanitarian Partnership Agreement (HPA) Disaster Risk Reduction (DRR)

Review Workshop Agenda
Monday 28 – Tuesday 29 July 2014
Purple Room, Multicultural Hub, 506 Elizabeth Street, Melbourne 3000

Objectives:
1. Evaluate overall program impact
2. Capture and share results from each location
3. Identify key lessons on design, approach and implementation to strengthen Oxfam’s DRR/Resilience work in line with 2020 strategic commitments.

Day 1: Internal Session, Monday 28th July

8:30 - 9:00 Welcome and Introductions
9:00 - 10:15 Indonesia Presentation
10:15 - 11:30 Solomon Islands Presentation
11:30 - 11:50 Morning break
11:50 - 13:00 South Africa Presentation
13:00 - 14:00 Lunch break
14:00 - 15:10 Sri Lanka Presentation
15:10 - 15:30 Afternoon break
15:30 - 16:45 Global Learning Café: Group Work
16:45 - 17:00 Summary

Day 2: Internal Session, Tuesday 29th July

8:30 - 9:00 Recap of Day 1
9:00 - 10:45 Global Learning Café: Group Work
10:45 - 11:00 Morning break
11:00 - 12:30 Global Learning Café: Group Work
12:30 - 13:30 Lunch break
13:30 - 15:30 Plenary Discussion
15:30 - 16:00 Afternoon break
16:00 - 17:00 Plenary Discussion, Evaluation & Close
Annex 3: Terms of Reference

PROJECT TITLE
End Program Impact Evaluation of Oxfam’s Humanitarian Partnership Agreement (HPA) Disaster Risk Reduction (DRR) and Disaster Risk Management (DRM) Program Consultancy.

Responsible to: Emma Renowden, DRR Coordinator
Accountable to: Meg Quartermaine, Humanitarian Support Manager

PURPOSE
To co-facilitate a workshop then complete an internal impact evaluation of Oxfam’s HPA DRR/DRM Program 2011-2014.

BACKGROUND
Oxfam’s three year HPA DRR/DRM program, funded by the Australian Government, commenced in July 2011 and has built on past experience to further develop successful integrated DRR and CCA models in South Africa, Sri Lanka, Solomon Islands and Indonesia.

Goal: Reduce vulnerability and enhance the resilience of communities to disasters and climate change
Objective 1: Appropriate context specific project models are developed and trialed, and lessons learned are documented and shared
Objective 2: Selected communities and partners are empowered to contextualise, identify, analyse, evaluate and treat short term disaster and long term climate change risks
Objective 3: Target government agencies have increased awareness of DRR/CCA and, if appropriate, are supported to develop their own DRR/CCA and response plans
Objective 4: Target government agencies and service providers are held accountable to deliver community entitlement and services to targeted communities

Duration: July 2011 - June 2014
Funding: AUD $1.5 million
Beneficiaries: 44,457 (11,492 direct, 32,965 indirect) - Solomon Islands - 913 direct (517 men, 396 women, of whom 609 were children); Sri Lanka - 4703 (1377 men, 1399 women, 1934 children); South Africa - 5234 direct (2074 men, 2974 women, 186 children) and 9941 indirect; Indonesia - 642 direct beneficiaries (299 men, 343 women) and 23,024 indirect (11,213 men, 11,811 women).
Locations: Solomon Islands - Makira, Temoutu and Isabel provinces; Sri Lanka - Batticaloa district; South Africa - KwaZulu Natal & Eastern Cape (urban and rural locations); Indonesia - Agam and Pariaman districts, West Sumatra
Civil Society Partners: Solomon Islands Red Cross (SIRC), Lanka Evangelical Alliance Development Society* (LEADS), Community Development Organisation (CDO), KwaZulu Regional Christian Council (KRCC), Refugee Social Services (RSS), Project Empower (PE), Sophakama, Tholulwazi Uzivikele (TU), Wahana Lingkungan Hidup (Walhi) West Sumatra*, JEMARI Sakato, Persatuan Keluarga Berencana Indonesia (PKBI) West Sumatra

SCOPE
As part of Oxfam’s internal commitment to program quality, staff from the four countries plus relevant head office staff will come together for a two day workshop to evaluate what worked well and what could have been done
better. The workshop will be a valuable opportunity to share experiences, capture impact and review effectiveness with the findings anticipated to inform an evaluation report. The broad aims of the workshop will be to:

- Evaluate overall program impact
- Share and capture results from each location
- Identify key lessons to strengthen Oxfam's DRR/Resilience work in line with 2020 strategic commitments.

The consultant will be responsible for:
1. Review of relevant background documents, specifically annual and final reports, case studies; and input into workshop agenda planning.
2. Document and analyse of workshop findings from key program staff, including what had gone well and what that can be improved.
3. Write Evaluation Report based on an agreed format, which includes recommendations for future design.

The consultant will be required to attend and help co-facilitate the workshop. Afterwards, the consultant will work autonomously on the report with final submission to the DRR Coordinator in Melbourne. Support and overall management will be provided by the DRR Coordinator with input from the HSU Operations Manager as required.

Accountability for the work after the impact evaluation is complete will rest with the DRR Coordinator and relevant Program Quality staff, who will ensure that proper dissemination across Oxfam and utilisation for input into the Oxfam Strategic Plan.

**APPROACH**

The impact evaluation report will be determined through document review (final reports) and findings from a two day workshop which will involve key country office and Melbourne based program staff. The process of undertaking the impact evaluation should be gender-sensitive, culturally-sensitive and participatory. Guidance about how to ensure evaluations are participatory and gender-sensitive is provided below and in the 7 Core Questions Toolkit.

The consultant will use methods involving document and content analysis to consolidate findings from stakeholder consultations and program reports. This information will form the basis of an analysis of progress and achievements against the program logical framework and will cover the period up to date. The consultant will draw from such analysis signs of success or failure and provide recommendations regarding specific actions that should be taken to take corrective measures to improve implementation/impact.

The maximum length of the final report (in English) should be 20 pages (excludes cover and appendices) and structured along the outline indicated below:

1. Title Page
2. Abbreviations/acronyms list
3. Executive Summary – this should (1) provide a short project introduction (2) explain briefly the evaluation methodology (3) summary of the finding (4) recommendations.
4. Table of Contents
5. Project detail
6. Evaluation Methodology – DAC criteria, Oxfam 7 Core Questions
7. Evaluation Findings
8. Recommendations

At minimum the evaluation workshop and report should assess the following. While it may not be possible to respond to all criteria, it is important to be explicit about which criteria are prioritised. The criteria below align with internationally recognised evaluation criteria.

- **Effectiveness**: Program contributions to significant and sustained changes. This includes the strength of assumptions about the changes that will or have resulted from the program/project (outcomes and/or impacts) as well as an assessment of the processes that have enabled the change. Also consider changes in the lives of
women, men, boys and girls and other marginalized groups like people with disabilities including changes in equity, accessibility, changes in policies and practices, changes in ideas, attitudes, beliefs in specific institutions, groups and individuals and civil society strengthening.

- **Oxfam and partner contributions**: what roles have Oxfam and program partners’ played? How have Oxfam and partners contributed to outcomes and change processes?
- **Relevance**: The extent to which participant priorities and needs are reflected and an assessment of how effectively communities, partners and stakeholders have been involved in all stages of the program cycle. In what ways do Oxfam strategies coincide with/reflect the political context at the local or national levels?
- **Accountability**: Oxfam’s accountability to communities (women, men, girls and boys and other marginalised groups), partners and stakeholders. How effectively has Oxfam worked with others (partners, allies, authorities, others) and involved them in all stages of the process? Also consider the effectiveness of Oxfam and partner/ally rights based approaches and gender empowerment strategies.
- **Value for money**: Consider the program’s economy, efficiency, effectiveness and its equity. How effectively and efficiently have Oxfam and community, partner and stakeholder resources been used to contribute to program design, implementation and outcomes/impact? Who has benefited from program and how? (see VFM tools under Question 6 in the Seven Core Questions toolkit – ‘Practical Application’ document)
- **Sustainability**: In what ways has the program/project design supported long term sustainability? To what extent has a financial and/or program transfer strategy been developed to ensure continuation or consolidation of the program?

The consultant will provide a brief summary of key recommendations that have emerged from the evaluation that will be useful for developing Oxfam’s project strategies and approaches in the future. Recommendations may be subdivided into those related to (a) project design, and (b) project management and should indicate clearly for whom the recommendation is intended.

**TIMEFRAME**

<table>
<thead>
<tr>
<th>Task</th>
<th>Method</th>
<th>Deliverable</th>
<th>Due Date</th>
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</thead>
<tbody>
<tr>
<td>Preliminary planning meetings with DRR Coordinator</td>
<td>Document review</td>
<td>Agenda</td>
<td>28 May</td>
</tr>
<tr>
<td>Co-facilitate workshop and capture key findings</td>
<td>Attend workshop with key program staff</td>
<td>Document key workshop findings</td>
<td>28-29 July</td>
</tr>
<tr>
<td>Submit final report</td>
<td>Written format, max 20 pages</td>
<td>Final Evaluation Report</td>
<td>8 August</td>
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**LIAISON**

Key Oxfam Australia liaison point will be the DRR Coordinator. Communication by telephone, email and face-to-face will be provided on draft and final deliverables throughout the agreed duration.

**LOCATION**

Home based with visits to Oxfam Australia, 132 Leicester Street Carlton, VIC 3053, as required.

**REQUIRED KNOWLEDGE & EXPERIENCE**

- Knowledge of DRR/CCA and Oxfam’s approach
- Understanding and knowledge of both the development and humanitarian sectors
- Proven evaluation, analysis and report writing skills
- Cultural and gender sensitivity and participatory approach

**CONSULTANCY OUTPUTS**
Final Impact Evaluation Report of the HPA DRR DRM Program which incorporates guidance from Oxfam's 7 Core Questions Tool Kit, Oxfam Australia Guidance Note for Final Evaluations, Oxfam Policy on Program Evaluation. The Impact Evaluation Report will be based on the OECD/DAC evaluation criteria of relevance, effectiveness, efficiency, impact, and sustainability. Other criteria such as accountability to beneficiaries, partnership, quality, appropriateness, replicability and scalability may also be taken into account.